

Commonwealth of Kentucky  
Before the Kentucky Board of Dentistry  
312 Whittington Parkway, Suite 101  
Louisville, Kentucky 40222

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KBD

Agency Case Number 11-007

Kentucky Board of Dentistry

Complainant

v.

**Settlement Agreement**

Amy E. Brock, D.M.D.  
(License No. 8098)

Respondent

\*\*\*\*\*

**Whereas**, the Kentucky Board of Dentistry (hereinafter “Board”) having information upon investigation of an initiating complaint that Amy E. Brock, D.M.D. (hereinafter “Respondent”), 1040 Cumberland Falls Highway, Suite A, Corbin, Kentucky 40701, has acted in violation of KRS Chapter 313, for which disciplinary action may be taken pursuant to KRS 313.080 (2)(e)

**Whereas**, the Respondent admits for the purposes of this Settlement Agreement the Board would be able to prove as follows:

At least one (1) count of a violation of KRS 313.080 (2)(e) pertaining to abuse, misuse, or misappropriate any drugs placed in the custody of the licensee or certified person for administration, or for use of others, or those drugs prescribed by licensee. This violation involved an investigation conducted by the Drug Enforcement Administration.

**Whereas**, the parties mutually desire to settle the issue in an expeditious manner, without the need for a formal hearing;

It is hereby stipulated and agreed between the undersigned parties that this matter shall be settled and resolved upon the following terms:

**Voluntary Waiver of Rights**

The Respondent has had the opportunity at all times to seek the advice from competent counsel of choice. No coercion has been exerted upon the Respondent, nor have any promises

been made other than those reflected in this agreement. The Respondent has freely and voluntarily entered into this agreement, motivated only by a desire to resolve the issues addressed herein. The Respondent has executed this Settlement Agreement only after a careful reading of it and a full understanding of all of its terms.

The Respondent is fully aware of the Respondent's rights to contest charges in a formal hearing. These rights include: representation by an attorney at the Respondent's own expense, the right to a public hearing on any charges or allegations filed, the right to confront and cross-examine witnesses called to testify against the Respondent, the right to present evidence on the Respondent's own behalf, the right to compulsory process to secure the attendance of such witnesses, the right to testify on the Respondent's own behalf, the right to receive written findings of fact and conclusions of law supporting the decision on the merits of the charges, the right to obtain judicial review of the Board's decision, and the right to appeal any final order of the Board to the Circuit Court of the county in which the Board met as otherwise allowed by KRS 313.150(3). All of these rights are being voluntarily waived by the Respondent in exchange for the Board's acceptance of this Settlement Agreement.

### **Jurisdiction**

The Respondent acknowledges that the Board has jurisdiction over the Respondent and the conduct which has precipitated this settlement. The Respondent also acknowledges that the Board has the legal power to take disciplinary action up to and including revocation of the Respondent's license to practice dentistry upon proof of the allegations in the Formal Complaint pending against the Respondent.

The Respondent acknowledges that the Board will retain jurisdiction over this matter until all terms and conditions set forth in this Settlement Agreement have been met to the satisfaction of the Board.

### **Publication of Settlement**

The Respondent acknowledges that, once adopted by the Board, this Settlement Agreement may be considered a public document, available for inspection at any time by any member of the public under the Kentucky Open Records Act. Further, the Respondent understands that the Board is free to make any use it deems appropriate of the contents of this agreement which shall include the Board's ability to share the content of this Settlement Agreement with any governmental or professional Board or organization and Board newsletter and availability via the Board website.

### **Effect Upon Licensure Status: Reprimand; Probation; Fine/Costs**

It is stipulated as the agreed upon disciplinary action that:

- 1) Respondent shall be reprimanded, with this Settlement Agreement constituting the reprimand, and;
- 2) Respondent shall surrender the Respondent's Drug Enforcement Administration ("DEA") Certificate in writing to the DEA. within ten (10) days of the Respondent's signing this Settlement Agreement with a copy of such surrender filed simultaneously with the Board, and shall not reapply for its reinstatement for a period of one (1) year from the date of the written notice of surrender, and;
- 3) Respondent shall successfully complete the Board-approved the Clinical Applications of the Principles in Treatment of Alcoholism and Substance Abuse ("CAPTASA") conference offered in Lexington, Kentucky, each calendar year for a period of five (5) years.
- 4) Respondent shall enter into and comply with a Well-being contract with the Board for a period of 5 years.

The Respondent expressly understands that the Respondent, upon surrender of the Respondent's D.E.A. Certificate, may not write scripts for, dispense, or call in to any pharmacy, any scheduled drugs so long as the Respondent does not hold a D.E.A. Certificate.

### **Enforcement of Settlement Agreement and Immediate, Temporary Suspension of License**

The Respondent expressly understands failure to comply with and complete all terms of this Settlement Agreement constitutes misconduct for which the Board, its Law Enforcement Committee, or its Executive Director, shall issue an immediate, temporary suspension of the Respondent's dental license for a period of not less than twelve (12) months, and a fine of not less than \$500.00 as administrative costs of the Board, prior to a hearing with the right to appeal such action as provided under KRS 13B.125.

The Respondent agrees to indemnify the Board for any costs, including reasonable attorney's fees, if the Board finds, after notice and opportunity to be heard, that the Respondent has failed to comply with any provision of this Settlement Agreement.

### **Release of Liability**

In consideration of execution of this Settlement Agreement, the Respondent for the Respondent personally, the Respondent's executors, administrators, successors and assigns, hereby releases and forever discharges the Commonwealth of Kentucky, the Kentucky Board of Dentistry, and the Kentucky Attorney General and each of their members, agents, and employees in their individual and representative capacities, from any and all manner of actions, causes of action, suits, debts, judgments, executions, claims and demands whatsoever, known and unknown, in law or equity, that the Respondent ever had, now has, may have or claim to have against any or all of the persons or entities named in this paragraph arising out of or by reason of this investigation, this disciplinary action, this settlement or its administration.

### Cooperation with the Board


The Respondent agrees to permit and cooperate with the Board, its members, agents, and employees to monitor the Respondent's compliance with the terms and conditions of this Settlement Agreement.

### Complete Agreement

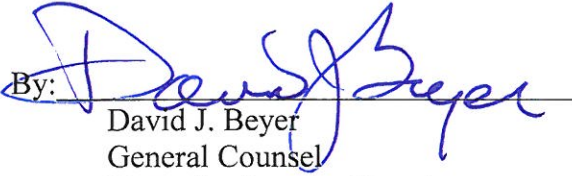
This Settlement Agreement consists of five (5) pages, and embodies the entire agreement between the Board and the Respondent. This Settlement Agreement shall constitute a binding contract between the Respondent and the Board, subject only to approval by the Board as set forth above. The Respondent shall not rescind, revoke, or withdraw this Settlement Agreement prior to its presentation to the Board at a regularly scheduled meeting of the Board. It may not be altered, amended or modified without the express written consent of both parties.

**Have Seen, Understood, and Approved:**

**Kentucky Board of Dentistry**

  
Amy E. Brock, D.M.D.  
1040 Cumberland Falls Highway, Suite A  
Corbin, Kentucky 40701  
*Respondent*

Date: 4-10-12

By:   
David J. Beyer  
General Counsel  
Kentucky Board of Dentistry  
312 Whittington Parkway, Suite 101  
Louisville, Kentucky 40222  
*Counsel for the Board*

Date: 4/17/2012